

Hudson River Valley Greenway

Chairman

Chairman Greenway Council **KEVIN BURKE**Chairman
Greenway Conservancy

SCOTT KELLER
Executive Director

Hudson River Valley Greenway Planning Grant Program Guidelines

A. Background

The Hudson River Valley Greenway was established by New York State through the Greenway Act of 1991. Since then, the Greenway has been committed to the preservation, enhancement and development of the world-renowned scenic, natural, historic, cultural and recreational resources of the Hudson River Valley while supporting projects consistent with economic development goals and the tradition of municipal home rule.

The Greenway administers a grant program to help "Greenway Communities" and participating Greenway Compact Communities achieve these shared goals.

The five **Greenway criteria** include:

- Natural and Cultural Resource Protection Protect, preserve, and enhance natural resources, including natural communities, open spaces, cultural and historic resources, scenic roads and scenic areas.
- **Regional Planning** Working together to develop mutually beneficial regional strategies for natural and cultural resource protection, economic development, public access and heritage and environmental education.
- **Economic Development** Encourage economic development compatible with the preservation and enhancement of natural and cultural resources including agriculture, tourism, and the revitalization of established community centers and waterfronts.
- **Public Access** Promote increased public access to the Hudson River through the creation of riverside parks and the development of the Hudson River Valley Greenway Trail System.
- **Heritage and Environmental Education** Promote awareness among residents and visitors about the Valley's natural, cultural, scenic and historic resources.

Greenway Compact

One of the major objectives of the Greenway Act is the development and implementation of a regional planning strategy known as the Greenway Compact. The Greenway Compact planning approach is one of thinking regionally as communities plan locally. It includes physical connections and linkages between communities for local and regional benefit. Additionally, it extends beyond physical linkages to encourage voluntary regional cooperation among the communities and counties of the Hudson River Valley to address issues of collective concern and promote mutually beneficial regional approaches. The Greenway Compact program facilitates a coordinated regional planning process for voluntary cooperation in support of the five Greenway Criteria.

Greenway Compact Development

The Greenway has designated the counties as the basic planning areas for the development of the Greenway Compact. Counties may initiate the development of a county-level Greenway Compact Plan, which makes recommendations and outlines best practices for incorporating Greenway principles into local land use planning. Municipalities can voluntarily choose to "opt-in" to their county's Greenway Compact Plan by incorporating its principles into their land use regulations, thereby demonstrating their commitment to the shared values embodied within the Compact Plan. Through adoption of a Greenway Compact Plan, a municipality is thereby considered a participating Greenway Compact Community, and eligible for increased Greenway project funding.

B. Grant Eligibility Guidelines

Applicants must complete projects previously awarded funds through this grant program or release those funds before being eligible to receive funding in this round. A community may hold a maximum of one Greenway Planning Grant and one Greenway Compact Grant at the same time.

Municipalities must be located within the geographic area of the Greenway in order to be eligible. The Greenway area includes all of the municipalities within these counties: Albany, Columbia, Dutchess, Orange, Putnam, Rensselaer, Rockland, Saratoga, Ulster, Washington, Westchester, municipalities in Greene County that are at least partially or entirely outside of the Catskill Park boundary; and those portions of New York and Bronx counties adjacent to the Hudson River and within the city's local waterfront revitalization program area pursuant to Executive Law Article 42. To determine if your community is a Greenway Community, please reference https://hudsongreenway.ny.gov/greenway-area-map.

1. Greenway Communities Grant Eligibility Guidelines:

This grant program is open to municipalities that are within the designated Greenway area and have adopted a local board resolution to become a designated "Greenway Community." The resolution demonstrates that the community supports the **Greenway Criteria** and the voluntary process for the development of the Greenway Compact. A sample resolution is available in part F of the application.

2. Greenway Compact Communities Grant Eligibility Guidelines

Communities that have adopted an approved Greenway Compact Plan are eligible for increased grant funding and additional benefits under this program. In addition to the requirements for the Greenway Communities Grant Program, municipalities must be a participating community in a Greenway Compact Plan. To become a Greenway Compact Community, a community must pass a local law adopting an approved Greenway Compact Plan. For more information on the Greenway Compact, please visit https://hudsongreenway.ny.gov/community-planning and scroll down to Greenway Compact. Additional guidelines for the Greenway Compact Grant Program are provided in part E of the application.

C. Funding and Application Guidelines

Greenway Community Grants are open to Greenway Communities. Greenway Compact Grants are open to participating Greenway Compact Communities.

Applicants will be allowed a conference period with Greenway staff up to the grant deadline. This period will allow applicants to ask staff for feedback and input on the grant project and application. Applicants are strongly encouraged to contact the Greenway prior to applying to discuss proposed projects.

Greenway Communities can undertake a variety of projects under this program that are consistent with the Greenway criteria or advance the Greenway compact. The following list of projects is intended to provide only general guidance for applicants:

- Open Space Inventory/Preservation: Open space inventories, comprehensive open space, development of conservation easement programs, transfer of development rights ordinances
- Natural Resource Inventory/Preservation: Natural resource inventories and management plans, critical environmental area designations, natural resource protection ordinances, viewshed analysis, scenic impact review guidelines, scenic road protection, development of scenic easement programs
- Recreational/Trail: Trail planning, construction, wayfinding, interpretive signage
- Other (Including Cultural Resource and Economic Development): Tourism, agriculture
 protection plans and techniques, main street and waterfront revitalization plans and
 implementation techniques, cultural resource inventories, historic preservation
 plans/ordinances
- Comprehensive/Master Planning: Comprehensive plans, master plans, area plans, zoning and subdivision ordinances, site plans

Community Risk and Resiliency Act (CRRA)

The Community Risk and Resiliency Act (CRRA) of 2014, along with the Smart Growth Public Infrastructure Policy Act prohibits New York State agencies from approving, undertaking, supporting, or funding public infrastructure projects unless the projects are consistent with mitigation of future physical risk from sea-level rise, storm surges, and flooding. Your participation in this grant program is pursuant to this requirement and you acknowledge that if your project is one that seeks to build physical infrastructure it will be consistent with the criteria listed. Full information on the CRRA can be found at https://www.dec.ny.gov/energy/102559.html.

Funding Range: Requests for Greenway funds typically range from \$5,000-\$10,000. Intermunicipal collaboration planning projects that involve two or more municipalities will be considered for funding above \$10,000. Compact Communities will also be considered for funding above \$10,000.

Previous Funding: Applicants must complete existing Greenway Communities or Greenway Compact Grant projects or rescind funds previously awarded before being eligible to receive new funding through this grant program. Greenway Compact Community applicants may have one Greenway Communities grant and one Greenway Compact grant at the same time.

Match Requirement: This is a matching funds program requiring "dollar for dollar" match of funds awarded. Greenway grant assistance toward the cost of the projects shall not exceed 50% of the approved project's cost. All match, including in-kind services, must be made after the grant is awarded and a Memorandum of Understanding (MOU) is signed by the grantee and the Greenway. Federal funds are eligible to be used as match, state funds are not.

Eligible Local Match shall include:

- Contractual and professional consulting services
- Equipment, supplies, and materials
- Construction costs
- Land acquisition
- In-Kind services and volunteer labor

After the grant award is made, the Greenway shall not be responsible for cost-sharing any increases in the total cost of the project. Federal funds are eligible to be used as match, state funds are not. Local match may be provided as cash, in-kind services or other non-monetary contributions such as donations of goods and services.

Eligible Expenses for Reimbursement include:

- Contractual and professional consulting services
- Equipment, supplies, and materials
- Construction costs

Documentation: Local Match and Eligible Expenses for Reimbursement must be documented. Itemized bills and/or receipts and proof of payment (municipal vouchers, cancelled checks, etc.) are required when submitting <u>Claims for Payments</u>. Signed statements of value can be submitted for donated goods and services to be used for Local Match. In-kind labor can be documented on the Match Documentation Worksheet available on our website.

Payment: Greenway grant funds are provided on a reimbursement basis; advance funds are not provided. Successful applicants must document project expenditures, the required match, and submit a New York State Claim for Payment form to receive funds. If a project involves the development of a plan or similar product, final payment of funding will not be made until the plan is completed in final form and adopted by the governing body of the relevant municipality. The Greenway cannot reimburse for any work undertaken prior to the grant award date or after the contract term. Please reference the Claim for Payment page on our website for instructions and match worksheets.

Timetable: A work program for each phase of funding, with projected costs and an estimated timeline for completion, must be submitted and approved by the Greenway Council Board prior to the awarding of any grant funding. Once the grant is awarded, a project agreement (including a budget, performance timeline and scope of work) will be formalized between the Greenway and the applicant.

Acknowledgement: All final reports, images, signs, maps or other final products are required to note the financial contribution of the Greenway, and the Greenway logo shall be displayed on all final products. The logo will be provided upon request. Drafts of any displays, signs, or publications must be submitted to the Greenway before final production.

D. Evaluation Guidelines:

This is a competitive grant program. Applications will be rated on the following:

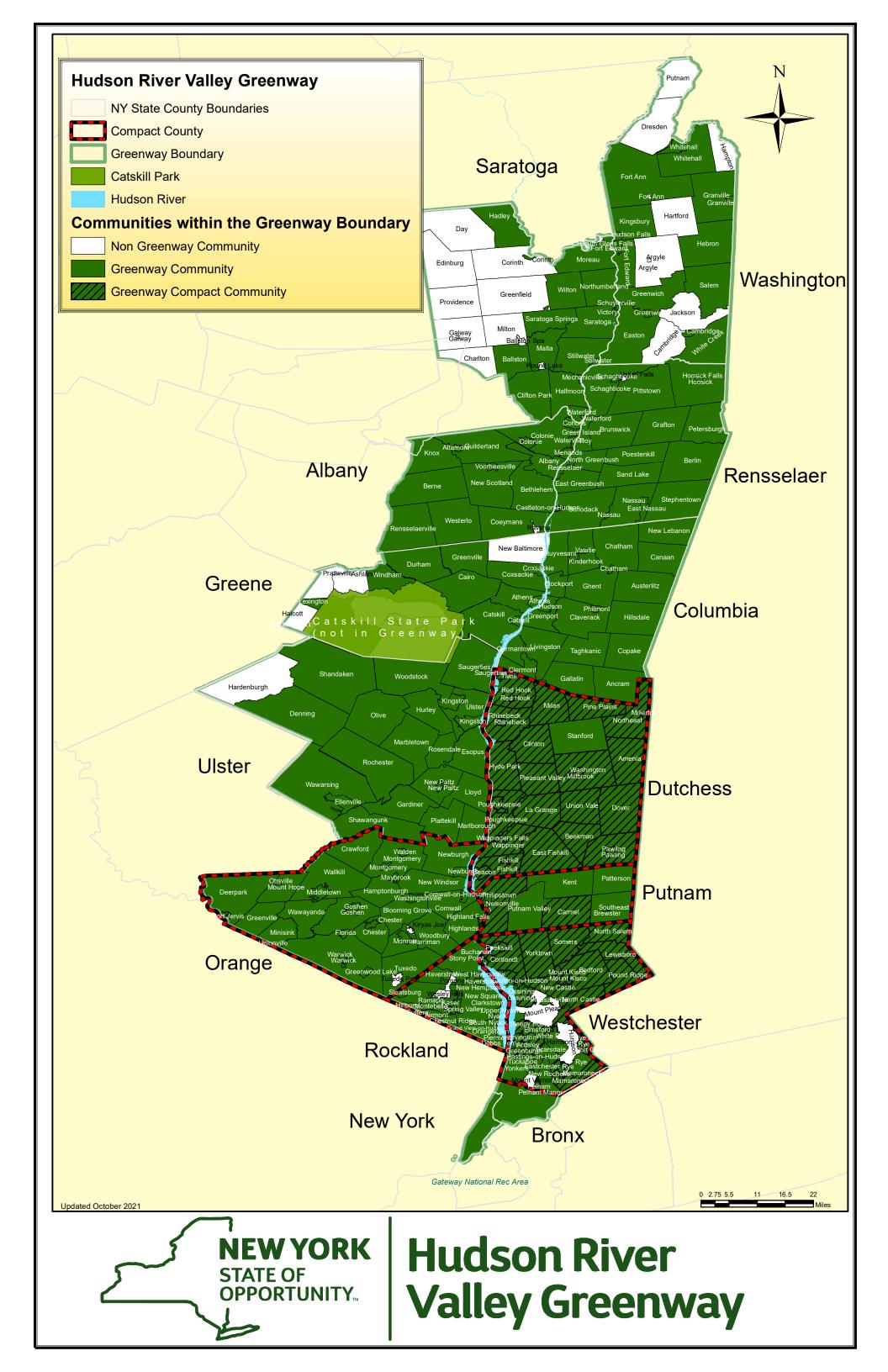
- 1. How well the projects help advance the Greenway Criteria
- 2. How well the projects advance the Greenway Compact (if applicable)
- 3. Innovation and Transferability: Higher ranking projects will be innovative and applicable elsewhere
- 4. Intermunicipal projects will rate higher than single-community projects
- 5. Amount of grant award contingent on funding availability and may be less than requested

Additional Guidelines for the Greenway Compact Grant Program

The Greenway Act of 1991 provides certain incentives for communities that adopt and implement the Greenway Compact, including a 5% preference in certain state grants ranking systems. In order to provide an additional major incentive for the development and implementation of the county or regional Compact strategy, Greenway Compact Communities are eligible to receive more than \$10,000 in matching grant funds.

Compact Development and Local Adoption Projects: Counties, individually or cooperatively, can apply for expenses related to Greenway Compact Plan development or adoption by cities, towns and villages. Projects may include multiple phases. Funding for the final sub-phase of the work program will be fully disbursed upon completion of the county or regional Compact document, approval and/or adoption by the county legislature and chief executive officer, and final acceptance by the Hudson River Valley Greenway Communities Council.

Compact Implementation Projects: Compact implementation project funding may be utilized for planning efforts or site-specific projects that are identified in or consistent with the approved county or regional Compact Plan. Specific references must be cited.





BARNABAS MCHENRY

Chairman Greenway Council **KEVIN BURKE**Chairman
Greenway Conservancy

SCOTT KELLER
Executive Director

Hudson River Valley Greenway Planning Grant Application

Note: You must save this form to your computer before filling it out. Forms must be completed using Adobe Acrobat 7.0 or higher.

Part A – Grant Type

Please select the category of Hudson River Valley Greenway Grant program to which you are applying:

Greenway Community Grant Program: Open to all designated Greenway Communities

Greenway Compact Grant: Open to communities that have adopted an approved Greenway Compact Plan

For a map of the Greenway Communities and Compact Communities, please visit hudsongreenway.ny.gov/greenway-area-map.

Part B – Applicant Information

1. Lead Applicant Commun	nity: (Fiscal Agent) of:		
In County:	OI.		
NYS Vendor ID#:			
Federal Tax ID#: Co-Applicant(s):			
In County:			
In County:			
In County:			

2. Sig	natory & Lead Contact Information	ı				
	Signatory of Lead Applicant Comm	•				
١	Name:	٦	Title:			
N	Mailing Address:					
C	City:			State:		Zip:
F	Phone:	Email:				
L	ead Contact Person (if different fro	om Signatory)				
N	Name:	٦	Title:			
N	/lailing Address:					
C	City:			State:		Zip:
F	Phone:	Email:				
	Part C – General Project I	nformation				
1. F	Project Name:					
2. F	Project Location (Site Address):					
City/	Town/Village(s):		State: I	NY	Zip:	
Cou	nty/Counties:					
3. <i>A</i>	Applicant's Interest in Property (e.g.	. own, lease, easer	ment, e	etc.):		
	Please list any local, state, and fed ndicate whether you have applied		views	that ar	e necessary	for this project, and
	, , , , , ,					
	etters of Support: Below, please lis					
	rs demonstrating that the project is lved state or federally operated site					

Part D – Project Description & Consistency with Greenway Goals

1.	Please provide a brief	, 50-word	summary of	the proposed	d project.
----	------------------------	-----------	------------	--------------	------------

2. Please choose only one of the following project types:

Open-space inventory/preservation

Natural resource inventory/preservation

Recreational/trail

Other, please specify:

Comprehensive/master planning

Date of last comp/master plan or update:

Will this project produce a plan or planning document?

If "yes", include a proposed timetable for implementation (after completion of the document or plan), a description of the implementation steps, and whether funding sources for the implementation have been identified or secured. (100 words or fewer).

3. If your municipality is a participating Greenway Compact community and applying under the Greenway Compact Grant Program, please describe the consistency with your county's Greenway Compact. List the name of the approved regional or county Greenway Compact Plan, and demonstrate how this project is consistent with the plan by citing specific sections or pages (100 words or fewer).

4.	Please describe (1) The purpose of the project, location, need, and the deliverable that will be produced with Greenway grant funds; (2) How the proposed project advances each of the five <u>Greenway Criteria</u> ; (3) If the project is an intermunicipal or collaborative effort, briefly describe the partnerships and how the project reinforces regional planning or cooperation. Please attach photographs, maps, renderings, etc., if applicable. If your description will not fit in the space below attach a narrative of no more than 2 pages, in no smaller than size 10 font.

Community Risk and Resiliency Act (CRRA)

The Community Risk and Resiliency Act (CRRA) of 2014, along with the Smart Growth Public Infrastructure Policy Act prohibits New York State agencies from approving, undertaking, supporting, or funding public infrastructure projects unless the projects are consistent with mitigation of future physical risk from sea-level rise, storm surges, and flooding. Your participation in this grant program is pursuant to this requirement and you acknowledge that if your project is one that seeks to build physical infrastructure it will be consistent with the criteria listed. Full information on the CRRA can be found at https://www.dec.ny.gov/energy/102559.html.

Check here to acknowledge the above.

Part E – Project Timeline

Briefly list the proposed work program, by task or phase, associated with the project to be funded under this grant request. This grant can only cover work performed and completed after the award date. This date also applies to all match counted toward awards.

Project Start Date:		Expected Project C	Completion Date: _	
	<u>Description</u>		Start Date	Completion Date
Phase/Task 1:				
Phase/Task 2:				
Phase/Task 3:				
Phase/Task 4:				
Phase/Task 5:				
Phase/Task 6:				
Phase/Task 7:				
Phase/Task 8:				
Phase/Task 9:				
Phase/Task 10:				

Budget: For your application to be considered complete and accepted, you must fill out the Budget Summary Excel file. Please send this Excel file. Do not print out and scan.

Part F - Approved Municipal Resolutions

1. Greenway Community Resolution or Greenway Compact Local Law:

Please attach a copy of the adopted municipal resolution endorsing the community's designation as a *Greenway Community*. A municipality must be a *Greenway Community* to receive funding under the Greenway Communities Grant program. For municipalities applying for a Greenway Compact Communities Grant, please attach a copy of the local law by which your community adopted the relevant county or regional Greenway Compact Plan. Applicants may call the Greenway office to ask if the Greenway already has this on file.

2. Municipal Grant Request Resolution:

All applicants are required to pass a resolution by the governing body authorizing the grant application.
Note: If your board does not meet until after the application deadline, please complete the following:
The municipal board will be considering a resolution for this project to be voted on the following date:
The resolution will be sent to the Greenway office within 48 hours of this meeting date.
Sample Municipal Resolution
WHEREAS, the (name of municipality) is applying to the Hudson River Valley Greenway for a grant under the Hudson River Valley Greenway Planning Grant Program for a project entitled (Project Name from Part C #1) to be located in (town/village or city),
NOW, THEREFORE, be it resolved that the governing board of(municipality) hereby does approve and endorse the application for a grant under the Hudson River Valley Greenway Planning Grant Program, for a project known as (Project Name from Part C #1) and located within this community.
Date of Adoption
Name of Municipal Clerk
Signature

Part G - Certification

Elected Official Certification: Please read and sign the following. Digital Signatures are acceptable. Unsigned applications will not be considered for funding

"I hereby affirm under penalty of perjury that information provided on this form and attached statements and exhibits is true to the best of my knowledge and belief. False statements made herein are punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal law."

Signature:	
Print Name:	
Title:	
Date:	

Applications must be emailed by the close of business on due date to grants@hudsongreenway.ny.gov
Late, incomplete or faxed applications will not be accepted.

Please call to confirm receipt of emailed applications (518-473-3835). Please use PDF format for all additional materials (support letters, maps, pictures, financial statements etc). Electronic signatures are acceptable. When completing a PDF application, <u>Adobe Reader</u> is required.

Please use subject line "Hudson River Valley Greenway Planning Grant for (NAME OF APPLICANT)"

Application Requirements & Checklist

Please note: Applicants must complete or release previously awarded projects through the Hudson River Valley Greenway Planning Grant Program before being eligible to receive funding in this round.

You must submit the following by the deadline. Failure to include any of the required elements

will make your application ineligible for consideration. 1) Email Submission: A complete electronic copy of all application materials (listed in 2-8 below), a single PDF document is preferred and should be emailed to grants@hudsongreenway.ny.gov. Attach original Excel budget as separate file. 2) Completed Application forms including signature by Elected Official (see Part G) 3) Completed Budget Summary Excel File (do not print out and scan) **4) Financial Information:** Municipalities must include Federal Tax ID# (see Part B) 5) Greenway Community Resolution or Greenway Compact Local Law (see Part F) 6) Resolution(s): All applicants are required to pass a resolution by the governing body authorizing the grant application. The applicant municipality must obtain the approval/endorsement of the governing body of the municipality or municipalities in which the project will be located. Include the signed and dated resolution in your application. Note: If your Board does not meet until after the application deadline, please complete the certification in Part F on page 5. 7) Support Letters: The applicant must include support letters demonstrating that the project is endorsed by co-applicants, project site owners (if not applicants), and all involved state or federally operated sites or any municipalities. 8) Supporting Information: Where appropriate, include photos, plans, drawings and other documents that highlight the need for this project. Any additional evidence of support including materials that indicate that the proposal is a part of a larger initiative; news clippings, award announcements, and any other evidence of the project's impact and support in the community from entities that will benefit from the project, are encouraged but are not required. 9) Ownership Interest: Include documentation of ownership interest in the property (deed) if applicable, and, if the applicant is not the landowner, a written agreement with the landowner. **10) Legal Compliance:** Please indicate that you have applied for all local, state and federal permits. Your project must comply with all local, state and federal laws and requirements. Funds will be contingent on proof of such permits.

Hudson River Valley Greenway or National Heritage Area Grant Application Budget Request

Fill in all expenses associated with the project using the Funds Requested and Match tabs.

The math is done for you! Numbers entered in any tab will automatically total here. You cannot enter anything on this page.

Project Costs	Funds Requested		Match		Outside Funds		Total
Contractual/Professional*	\$0.00		\$0.00		N/A		\$0.00
Equipment/Supplies/Materials*	\$0.00		\$0.00		N/A		\$0.00
Construction*	\$0.00		\$0.00		N/A		\$0.00
Land Acquisition*	N/A		\$0.00		N/A		\$0.00
In-Kind Services*	N/A		\$0.00		N/A		\$0.00
Outside Funding*	N/A		N/A		\$0.00		\$0.00
Totals*	\$0.00	+	\$0.00	+	\$0.00	=	\$0.00
	Total Requested		Total Match	T	otal Outside Funds		Total Project Cost

Total Requested

Total Match

Total Outside

Total Project Cost

*Will automatically total from respective worksheet

Total Match must equal or exceed Total Requested

Funds Requ	<u>ested</u>	
	Contractual/Professional Services Vendors	
	(Your municipal/organization staff salaries not allowed)	Amounts
Vendor or Service>		<fill in<="" td=""></fill>
Vendor or Service>		<fill in<="" td=""></fill>
Vendor or Service>		<fill in<="" td=""></fill>
Vendor or Service>		<fill in<="" td=""></fill>
Vendor or Service>		<fill in<="" td=""></fill>
	Sub-Total Contractual/Professional Services*	\$0.00 <will auto-total<="" td=""></will>
	Equipment/Supplies/Materials	Amounts
Specify>		<fill in<="" td=""></fill>
	Sub-Total Equipment/Supplies/Materials*	\$0.00 <will auto-total<="" td=""></will>
	Construction Vendors	
	(Your municipal/organization staff salaries not allowed)	Amounts
Vendor or Service>		<fill in<="" td=""></fill>
Vendor or Service>		<fill in<="" td=""></fill>
Vendor or Service>		<fill in<="" td=""></fill>
Vendor or Service>		<fill in<="" td=""></fill>
Vendor or Service>		<fill in<="" td=""></fill>
	Sub-Total Construction*	\$0.00 <will auto-total<="" td=""></will>
	Total Funds Requested*	\$0.00 <will auto-total<="" th=""></will>
	*Will automatically transfer to the correct location on Totals tab.	

	nd 2 to document amounts used to match Funds Requested. de at least \$1 in Match (Cash and/or In-Kind) for every \$1 of Funds Reque	1404	
	te at least \$1 in Match (Cash and/or In-Kind) for every \$1 of Funds Request Innental Protection Fund money cannot be used as match for Greenway Trail Grants.	stea.	
	Is cannot be used as match for Heritage Development Grants.		
	Contractual/Professional Services Vendors (Your municipal/organization staff salaries not allowed) Amount of the contractual o	ounts	
endor or Service>	(Your municipal/organization staff salaries not allowed) Amo	Dunis	<fill in<="" td=""></fill>
endor or Service>			<fill in<="" td=""></fill>
endor or Service>			<fill in<="" td=""></fill>
ndor or Service>			<fill in<="" td=""></fill>
ndor or Service>	Sub-Total Contractual/Professional Services*	ቀሳ ሳሳ	<fill in<="" td=""></fill>
	Sub-Total Contractual/Professional Services	Φ υ.υυ	<will auto-total<="" td=""></will>
	Equipment/Supplies/Materials Amo	ounts	
Specify>	101		<fill in<="" td=""></fill>
Specify>	 		<fill in<="" td=""></fill>
Specify>			<fill in<br=""><fill in<="" td=""></fill></fill>
Specify>			<fill in<="" td=""></fill>
	Sub-Total Equipment/Supplies/Materials*	\$0.00	<will auto-total<="" td=""></will>
	Construction Vendors (Your municipal/organization staff salaries not allowed) Amo	ounts	
endor or Service>	(Your municipal/organization stan salaries not allowed)	Dunts	<fill in<="" td=""></fill>
endor or Service>			<fill in<="" td=""></fill>
endor or Service>			<fill in<="" td=""></fill>
endor or Service>			<fill in<="" td=""></fill>
endor or Service>	Sub-Total Construction*	\$0.00	<pre> <fill in<br=""><will auto-total<="" pre=""></will></fill></pre>
	Sub-Total Construction	φυ.σσ	

-		d and Match	ete the project.
	spending outside of and in addition to those in Funds Requester ganization has already secured these funds or not. There is space for		
	, , , , , , , , , , , , , , , , , , ,	•	
	Describe the source of these funds	Amount	
Specify>			<fill amount<="" in="" td=""></fill>
	What is the status of these funds?		
Choose>			
	What will these funds be used for?		
Specify>			
	Describe the source of these funds	Amount	
Specify>			<fill amount<="" in="" td=""></fill>
	What is the status of these funds?		
Choose>			
	What will these funds be used for?		
Specify>			
0 16	Describe the source of these funds	Amount	1
Specify>			<fill amount<="" in="" td=""></fill>
04	What is the status of these funds?		
Choose>	What will these funds be used for?		
Chaoific			
Specify>			
	Total Outside Funds*	¢0.00	<will auto-total<="" td=""></will>
	I Olai Oulside Fullus	Φ 0.00	VVIII auto-totai
	*IA/III automobically transfer to the assured leasting on Tatala tak		
	*Will automatically transfer to the correct location on Totals tab.		